

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Kenji SAWAMURA :
Serial No. NEW : **Attn: APPLICATION BRANCH**
Filed July 9, 2003 : Attorney Docket No. 2003_0942

SEMICONDUCTOR DEVICE HAVING A DUMMY
ACTIVE REGION FOR CONTROLLING HIGH
DENSITY PLASMA CHEMICAL VAPOR DEPOSITION
**(Rule 1.53(b) Divisional
of Serial No. 10/058,131,
Filed January 29, 2002)**

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. 10/058,131, filed January 29, 2002.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

- 1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page ,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kenji SAWAMURA

By 

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July 9, 2003

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 9, 2003

ATTY DOCKET NO.
2003_0942

SERIAL NO.
NEW

APPLICANT
Kenji SAWAMURA

FILING DATE
July 9, 2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,798,298	08/1998	Yang et al.			
	AB	5,766,803	06/1998	Michael et al.			
	AC	6,022,644	02/2000	Lin et al.			
	AD	5,900,349	05/1999	Han			
	AE	5,597,668	01/1997	Nowak et al.			
	AF	6,069,067	05/2000	Kinugawa			
	AG	6,197,452	03/2001	Matumoto			
	AH	5,902,752	05/1999	Sun et al.			
	AI	5,923,969	07/1999	Oyamatsu			
	AJ	6,037,671	03/2000	Kepler et al.			
	AK	6,081,272	06/2000	Morimoto et al.			
	AL	5,970,238	10/1999	Shibata et al.			
	AM	5,663,588	09/1997	Suzuki et al.			
	AN	5,960,300	09/1999	Yabu et al.			
	AO	6,074,938	06/2000	Asamura			
	AP	6,080,615	06/2000	Lee et al.			
	AQ	6,025,268	02/2000	Shen			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AR	09311432	12/1997	JP				
	AS	01239873	09/1989	JP				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,140,207	10/2000	Lee			
	AB	5,994,199	11/1999	Sugiyama			
	AC	6,262,435	7/2001	Plat et al.			
	AD	6,020,616	2/2000	Bothra et al.			
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	2001351972	12/2001	JP				
	AK							
	AL							
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.